

02-11-02

A

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

50325-0631

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, Shaheed Bacchus, et al., entitled METHOD AND APPARATUS FOR PROVIDING DATA FROM A SERVICE TO A CLIENT BASED ON ENCRYPTION CAPABILITIES OF THE CLIENT, for a(n):

(X) Original Patent Application.

() Continuing Application (prior application not abandoned):

- () Continuation () Divisional () Continuation-in-part (CIP)
 of prior application No: _____ Filed on: _____
 () A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

- (X) Specification 35 Total Pages; (X) Drawing(s) 7 Total Sheets; (X) Cover Sheet 1 Page
 (X) Oath or Declaration: 3 Pages
 (X) A Newly Executed Combined Declaration and Power of Attorney:
 (X) Signed. () Unsigned. () Partially Signed.
 () A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
 () Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference in its entirety for all purposes.
 () Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).
 () Power of Attorney. (X) Return Receipt Postcard.
 () Associate Power of Attorney. (X) A Check in the amount of \$ 1596.00 for the Filing Fee.
 () Preliminary Amendment. () Information Disclosure Statement and Form PTO-1449.
 (X) Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
 (X) A Duplicate Copy of this Form for Processing Any Deficiency or Over Payment of Fee Against Deposit Account.
 () A Certified Copy of Priority Documents (if foreign priority is claimed).
 () Applicant(s) is entitled to small entity status. See 37 CFR 1.27.
 () Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.
 (X) Recordation of Assignment Cover Sheet and executed Assignment.
 () Other: _____

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	28	8	\$18.00	\$ 144.00
Independent Claims	11	8	\$84.00	\$ 672.00
Multiple Dependent Claims (if applicable)				\$ 0.00
Assignment Recording Fee				\$ 40.00
Basic Filing Fee				\$ 740.00
Total Filing Fee				\$1596.00

Charge \$ _____ to Deposit Account _____ pursuant to 37 CFR § 1.25. Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: Christopher J. Palermo
 Christopher J. Palermo, Attorney of Record
 Reg. No. 42,056

Date: February 8, 2002

Correspondence Address:

Express Mail Label No.: EL652871432US

Date of Deposit: February 8, 2002

11046 U.S. PTO

10/071455

02/08/02

JC961 U.S. PTO

02/08/02

4004116-039593

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision,
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT (\$) 1596.00

Complete if Known

Application Number

Filing Date

First Named Inventor

Shaheed Bacchus, et al.

Examiner Name

Group/Art Unit

Attorney Docket No.

50325-0631

METHOD OF PAYMENT (check one)

1. ☒ Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Deposit Account Number

50-1302

Deposit Account Name

Hickman Palermo Truong & Becker, LLP

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

3. ☐ Applicant(s) is entitled to small entity status.
See 37 CFR 1.27

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		

105	130	205	65	Surcharge - late filing fee or oath	
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127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
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139	130	139	130	Non-English specification	
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112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
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113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
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115	110	215	55	Extension for reply within first month	
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116	400	216	200	Extension for reply within second month	
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117	920	217	460	Extension for reply within third month	
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118	1,440	218	720	Extension for reply within fourth month	
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128	1,960	228	980	Extension for reply within fifth month	
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119	320	219	160	Notice of Appeal	
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120	320	220	160	Filing a brief in support of an appeal	
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121	280	221	140	Request for oral hearing	
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138	1,510	138	1,510	Petition to institute a public use proceeding	
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140	110	240	55	Petition to revive - unavoidable	
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141	1,280	241	640	Petition to revive - unintentional	
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142	1,280	242	640	Utility issue fee (or reissue)	
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143	460	243	230	Design issue fee	
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144	620	244	310	Plant issue fee	
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122	130	122	130	Petitions to the Commissioner	
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123	50	123	50	Petitions related to provisional applications	
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126	240	126	240	Submission of information Disclosure Stmt	
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581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
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146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	
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149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	
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Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 40.00

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 740.00

2. EXTRA CLAIM FEES

Total Claims		Extra Claims		Fee from Below		Fee Paid	
Code	Fee (\$)	Code	Fee (\$)	Code	Fee (\$)	Code	Fee (\$)
28	-20**=	8	X	18.00	=	144.00	
11	-3**=	8	X	84.00	=	672.00	

Multiple Dependent

**or number previously paid, if greater; For Reissues, see below

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 816.00

SUBMITTED BY

Name (Print/Type) Christopher J. Palermo

Signature

Registration No. (Attorney/Agent)

42,056

Complete (if applicable)

Telephone

(408) 414-1080

Date

February 8, 2002

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, Washington, DC 20231.


Express Mail No. EL652871432US

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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor		Shaheed Bacchus, et al.
	Title	METHOD AND APPARATUS FOR PROVIDING DATA FROM A SERVICE TO A CLIENT BASED ON ENCRYPTION CAPABILITIES OF THE CLIENT	
	Atty. Docket Number		50325-0631

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 8, 2002


 Christopher J. Palermo
 Attorney of Record, Reg. No. 42,056

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231

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